

1 **Cephalosporin-3'-diazoniumdiolate NO-donor prodrug PYRRO-C3D enhances azithromycin**
2 **susceptibility of Non-typeable *Haemophilus influenzae* biofilms**

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23 Running Head: PYRRO-C3D enhances NTHi biofilm antibiotic susceptibility.

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28 **ABSTRACT**

29 **Objectives:** PYRRO-C3D is a cephalosporin-3-diazeniumdiolate nitric oxide (NO)-donor
30 prodrug designed to selectively deliver NO to bacterial infection sites. The objective of this
31 study was to assess the activity of PYRRO-C3D against non-typeable *Haemophilus influenzae*
32 (NTHi) biofilms and examine the role of NO in reducing biofilm-associated antibiotic
33 tolerance.

34

35 **Methods:** The activity of PYRRO-C3D on *in vitro* NTHi biofilms was assessed through CFU
36 enumeration and confocal microscopy. NO release measurements were performed using an
37 ISO-NO probe. NTHi biofilms grown on primary ciliated respiratory epithelia at an air-liquid
38 interface were used to investigate the effects of PYRRO-C3D in the presence of host tissue.
39 Label-free LC/MS proteomic analyses were performed to identify differentially expressed
40 proteins following NO treatment.

41

42 **Results:** PYRRO-C3D specifically released NO in the presence of NTHi, while no evidence of
43 spontaneous NO release was observed when the compound was exposed to primary
44 epithelial cells. NTHi lacking β -lactamase activity failed to trigger NO release. Treatment
45 significantly increased the susceptibility of *in vitro* NTHi biofilms to azithromycin, causing a
46 log-fold reduction in viability ($p < 0.05$) relative to azithromycin alone. The response was
47 more pronounced for biofilms grown on primary respiratory epithelia, where a 2-log
48 reduction was observed ($p < 0.01$). Label-free proteomics showed that NO increased
49 expression of sixteen proteins involved in metabolic and transcriptional/translational
50 functions.

51

52 **Conclusions:** NO release from PYRRO-C3D enhances the efficacy of azithromycin against
53 NTHi biofilms, putatively via modulation of NTHi metabolic activity. Adjunctive therapy with
54 NO mediated through PYRRO-C3D represents a promising approach for reducing biofilm-
55 associated antibiotic tolerance.

56

57 **KEYWORDS**

58

59 *Haemophilus influenzae*, biofilm, antibiotic resistance, nitric oxide, proteomics

60 1. INTRODUCTION

61 Non-typeable *Haemophilus influenzae* (NTHi) plays a major role in a number of
62 chronic lung diseases, including chronic obstructive pulmonary disease, the fourth largest
63 cause of mortality worldwide [1], and cystic fibrosis, where early childhood infection leads to
64 an environment within the lung that is more susceptible to infection by *Pseudomonas*
65 *aeruginosa* [2]. NTHi is also the predominant early coloniser in primary ciliary dyskinesia
66 (PCD), another genetic chronic lung disease characterised by a lack of mucociliary clearance,
67 chronic lung infection and lung function decline [3,4].

68 Persistence of NTHi infection is often associated with biofilm formation, with NTHi
69 biofilms being implicated in a number of clinical settings including formation on middle ear
70 epithelium during chronic otitis media [5], chronic rhinosinusitis [6], chronic obstructive
71 pulmonary disease (COPD) sputum [7], and lower respiratory tract diseases [8]. The biofilm
72 phenotype enables bacteria to evade the host immune response, benefit from increased
73 antibiotic tolerance, and subsequently develop antibiotic resistance through horizontal gene
74 transfer [9]. Exopolysaccharide matrix formation by biofilm bacteria may also restrict the
75 diffusion of antibiotics into biofilms and prevent ingress of immune cells [10]. Increased
76 expression of efflux pumps and β -lactamases have also been shown to contribute to
77 increased tolerance. It is the change to a metabolically dormant phenotype, however, that
78 potentially plays the most important role, rendering antibiotics that target cell division
79 ineffective [11]. Biofilm formation in NTHi has been associated with the reduced metabolic
80 activity typical of that observed in other bacterial species, with preserved ability to respond
81 to stress [12]. As well as clinical isolates from a range of diseases having the ability to form *in*
82 *vitro* biofilms [8], NTHi has also been shown to form biofilms on cultured respiratory
83 epithelial surfaces with decreased antibiotic susceptibility [13,14]. NTHi biofilms also exhibit
84 quorum signalling that is characteristic of other respiratory biofilm formers such as *P.*
85 *aeruginosa* [15]. Whilst *P. aeruginosa* is the most widely studied biofilm forming respiratory

86 pathogen, many differences exist with NTHi. For example, *P. aeruginosa* biofilms form
87 within mucus *in vivo* [16] rather than attached to the epithelial surface as NTHi biofilms do
88 [5,6,13,14]. Also, cyclic-di-GMP seems to play a pivotal role in the *P. aeruginosa* biofilm life
89 cycle under the control of guanylate cyclases and phosphodiesterases [17], however NTHi
90 genome sequencing shows no domains coding for these enzymes [18].

91 Bacteria within biofilms can be triggered by various external factors to revert to a
92 planktonic single cell state, a process that not only facilitates propagation and re-
93 colonisation elsewhere within the host but also renders the bacteria more susceptible to
94 antibiotics [10]. Development of a therapeutic approach that induces dispersal or reverses
95 the metabolically dormant phenotype is therefore an attractive approach for improving
96 antibiotic effectiveness in the treatment of biofilm-associated infections.

97 Nitric oxide (NO) is a ubiquitous signalling molecule that plays a wide range of
98 biological roles in both prokaryotes and eukaryotes. Low dose NO has been shown to signal
99 a dispersal response in biofilms formed by a number of bacterial species, including *P.*
100 *aeruginosa*, *Escherichia coli*, *Serratia marcescens*, *Staphylococcus aureus*, and also multi-
101 species biofilms [19-21]. However, NO also plays a number of important roles in the human
102 host, meaning that administration of spontaneous NO donors as drugs would likely elicit
103 undesirable side effects, particularly through alterations in circulatory dynamics [22-24]. To
104 address this we have developed a novel class of targeted NO prodrugs (cephalosporin-3'-
105 diazeniumdiolates) that are composed of a diazeniumdiolate (NONOate) NO-donor attached
106 to the 3'-position of first generation cephalosporins. This innovative drug class was designed
107 to selectively release the NONOate following cleavage of the β -lactam ring by bacterial β -
108 lactamases, thereby targeting NO delivery directly to the site of infection [25] (Figure 1a).
109 We hypothesised that treatment of NTHi biofilms with a cephalosporin-3'-diazeniumdiolate
110 (i.e. PYRRO-C3D K⁺ salt, Figure 1a) would signal a return to a planktonic phenotype, thereby
111 increasing NTHi sensitivity towards conventional antibiotics. We investigated the activity of

112 PYRRO-C3D, both alone and in combination with azithromycin, on biofilms formed *in vitro*
113 and on primary respiratory epithelia grown at an air-liquid interface. High-throughput label-
114 free proteomic analyses were performed to provide mechanistic insights into the role of NO
115 in NTHi biofilms.

116

117 **2. MATERIALS AND METHODS**

118 **2.1 Ethics**

119 Local and national R&D and ethical approvals were obtained (Southampton and South West
120 Hampshire Research Ethics 06/Q1704/105 and 07/Q1702/109).

121

122 **2.2 Bacterial strains and growth conditions**

123 NTHi strain HI4 was isolated from the sputum of a PCD patient. HI5 and HI6 were from nasal
124 swabs of healthy children participating in a nasal carriage study. All experiments were
125 performed using strain HI4 unless stated otherwise. HI4 and HI6 were β -lactamase
126 producing strains, whilst HI5 lacked β -lactamase activity. Strains were subcultured onto
127 Columbia agar with chocolate horse blood (CBA; Oxoid, U.K.) and grown at 37 °C and 5%
128 CO₂. Colonies were resuspended in brain-heart infusion (BHI) broth (Oxoid, U.K.)
129 supplemented with 10 µg/mL hemin and 2 µg/mL nicotinamide adenine dinucleotide.

130

131 **2.3 Planktonic experiments**

132 Flat-bottomed 96-well culture plates (Fisher Scientific, U.K.) were inoculated with $\sim 1.0 \times 10^7$
133 mid-exponential NTHi grown in supplemented BHI. 10 mM PYRRO-C3D (in DMSO) was
134 diluted in supplemented BHI and added to wells at final concentrations of 1 - 200 µM, with
135 supplemented BHI used as an untreated control. Cultures were incubated at 37 °C and 5%
136 CO₂ for 24 h. Absorbance (OD₅₉₅) was measured using an EZ Read 400 spectrophotometer
137 (Biochrom; n=3).

138

139 **2.4 *In vitro* biofilm experiments**

140 Untreated polystyrene 6-well tissue culture plates (Corning Incorporated, U.S.A.) were
141 inoculated with $\sim 2.0 \times 10^8$ mid-exponential NTHi grown in supplemented BHI. Plates were
142 incubated at 37 °C and 5 % CO₂ for 72 h and media replaced with fresh supplemented BHI
143 daily. Biofilms were then washed twice with Hanks' Balanced Salt Solution (HBSS) to remove
144 unattached cells before being treated with 10 nM - 100 µM PYRRO-C3D, 50 µM each of
145 carboxy-PTIO (cPTIO), DEA/NO, clavulanate and cephaloram, and 4 mg/mL azithromycin for
146 2 hours at 37 °C and 5 % CO₂. Biofilms were washed twice then resuspended in 1 mL HBSS,
147 vortexed, then serial diluted before being spot plated onto CBA and incubated at 37 °C and 5%
148 CO₂. For confocal imaging, biofilms were grown on 35 mm untreated glass bottom CELLview
149 culture dishes (Greiner Bio One, U.K.) and prepared as above. Following treatment, biofilms
150 were stained with a Live/Dead BacLight bacterial viability kit (Life Technologies, U.S.A.) as
151 per manufacturer's instructions and examined using a Leica SP8 Laser confocal scanning
152 microscope (LCSM) with inverted stand under a 63x oil immersion lens. Sequential scanning
153 was performed using 1 µm sections and the images analysed using Comstat 2.0 software
154 [26].

155

156 **2.5 Epithelial cell co-culture experiments**

157 Nasal epithelial cells were obtained from healthy volunteers, cultured through two passages,
158 then placed on 12 mm transwells (0.4 µm pore size) as previously described [27]. Once
159 confluent, apical media was removed and the cells fed at the baso-lateral surface every 48 h.
160 A minimum of 4 weeks after ciliation, trans-epithelial resistance was measured to confirm an
161 intact epithelial surface [28]. NTHi in MEM containing HEPES without glutamine
162 (ThermoFisher, U.K.) were applied to the apical surface of the epithelial cells at an MOI of
163 100:1. Co-cultures were grown for 72 h at 37 °C and 5% CO₂ with the media changed every

164 24 h. Both the apical and baso-lateral surfaces were washed with HBSS prior to treatment.
165 Co-cultures were treated with compounds and processed for CFU enumeration as before.
166 Transwell membranes were removed, processed as previously described [29], and remaining
167 biofilms imaged using an FEI Quanta 250 scanning electron microscope.

168

169 **2.6 Nitric oxide measurements**

170 Nitric oxide release from 50 μ M PYRRO-C3D in phosphate buffered saline (PBS) was
171 measured using an ISO-NO probe (World Precision Instruments, U.S.A.) as per the
172 manufacturer's instructions. PYRRO-C3D was activated through addition of *Bacillus cereus*
173 penicillinase (10 units, Sigma, U.K.) and NO release recorded over 130 minutes. NO release
174 from PYRRO-C3D in the presence of mid-exponential NTHi cells was measured for 15 mins
175 before quenching the reaction with 50 μ M clavulanate (β -lactamase inhibitor). For epithelial
176 cell co-culture measurements, 750 μ L PBS was added to the apical surface and the probe
177 inserted. Baseline NO release was measured for 30 mins before activating PYRRO-C3D
178 through addition of 10 units of *B. cereus* penicillinase.

179

180 **2.7 Proteomic analysis**

181 An alternative NO-donor (sodium dinitroprusside, SNP) was used in place of PYRRO-C3D to
182 characterise the response of NTHi biofilms to NO, without being confounded by any activity
183 arising from the β -lactam component of PYRRO-C3D [30]. Untreated and 50 μ M SNP treated
184 *in vitro* NTHi4 biofilms were resuspended in 1 mL HBSS and washed twice by centrifugation
185 at 10,000 xg for 5 mins at 4 °C. The supernatant was discarded, the pellet resuspended in
186 digestion buffer containing 4 M guanidine hydrochloride, 10 mg/mL lysozyme, and 100 mM
187 triethylammonium bicarbonate (TEAB) prepared in HBSS, and incubated at 37 °C for 30 mins.
188 Samples were bead beaten with 0.1 mm zirconium oxide beads at 50 Hz for 5 minutes,
189 centrifuged at 3,000 xg for 2 mins at room temperature, and the supernatants filter

190 sterilized through 0.22 µm polyethersulfone membranes to remove any remaining intact
191 cells. Samples were precipitated overnight in 100% ethanol at -20 °C, centrifuged at 12,000
192 xg for 5 mins at 4°C, and resuspended in 100 mM TEAB with 0.1% Rapigest SF surfactant
193 (Waters, U.K.). Protein solutions were heat treated at 80 °C for 10 mins and then briefly
194 vortexed. DTT (in 100 mM TEAB) was added to a final concentration of 2.5 mM then heat
195 treated at 60 °C for 10 mins. After cooling, the solution was spun at 10,000 xg and
196 iodoacetamide was added at a final concentration of 7.5 mM before incubating at room
197 temperature for 30 mins in the dark. Protein samples were digested in trypsin solution
198 overnight at 37 °C. Trifluoroacetic acid was added to a final concentration of 0.5% and the
199 mixtures incubated for 30 minutes at 37 °C, before being centrifuged at 13,000 xg for 10
200 mins. The supernatant was lyophilised and resuspended in 200 mM ammonium formate
201 with 100 fmol of enolase as internal standard.

202

203 **2.7.1 Mass spectrometry of NTHi biofilm protein samples**

204 Peptide separations were performed using a nanoAcquity UPLC system (Waters, U.K.). For
205 the first dimension separation, 1.0 µL of the peptide digest was injected onto a Symmetry
206 C18, 180µm x 20mm trapping cartridge (Waters, U.K.). After 5 min washing of the trap
207 column, peptides were separated on a 75 µm i.d. x 250 mm, 1.7 µm BEH130 C18, column
208 (Waters, U.K.) using a linear gradient of 5 to 40% B (buffer A = 0.1% formic acid in H₂O,
209 buffer B = 0.1% formic acid in acetonitrile) over 90 min with a wash to 85% B at a flow rate
210 of 300 nL/min. All separations were automated, performed on-line and sprayed directly into
211 the nanospray source of the mass spectrometer. MS experiments were all performed using a
212 Waters G2-S Synapt HDMS mass spectrometer operating in MS^e mode. Data were acquired
213 from 50 to 2000 *m/z* with ion mobility enabled using alternate low and high collision energy
214 (CE) scans. Low CE was 5V and elevated, ramped from 20-40V. The lock mass (Glu-

215 fibrinopeptide, $(M+2H)^{+2}$, $m/z = 785.8426$) was infused at a concentration of 100 fmol/ μ L at
216 300 nl/min and spectra acquired every 13 seconds.

217

218 **2.7.2 Identification of proteins from MS spectra**

219 The raw mass spectra were processed using ProteinLynx Global Server Ver 3.0 (enabled
220 through Symphony pipeline software, Waters, U.K.) to generate a reduced charge state and
221 de-isotoped precursor lists, with associated product ion mass lists. These mass lists were
222 searched against the *H. influenzae* strain 3655 UniProt protein sequence (downloaded June
223 2016). A maximum of one missed cleavage was allowed for tryptic digestion and the allowed
224 variable modification was set to contain oxidation of methionine. Carboxyamidomethylation
225 of cysteine was set as a fixed modification.

226

227 **2.8 Statistical analysis**

228 Statistical analyses were performed using GraphPad version 6.04 and unpaired t-tests. Data
229 reported with a significance ≤ 0.05 were considered statistically different. Analysis of
230 identified proteins was corrected for multiple analysis using a false discovery rate (FDR) of
231 5%. Proteins that were either >1.5 or <0.7 fold changed following NO treatment were
232 analysed using the Kyoto Encyclopaedia of Genes and Genomes (KEGG) [31,32] to identify
233 over-represented biological pathways.

234

235 **3. RESULTS**

236 **3.1 PYRRO-C3D elicits a direct antibacterial effect on planktonic but not biofilm NTHi**

237 Prior to treatment of NTHi, NO release from PYRRO-C3D was first confirmed following
238 chemical activation by the β -lactamase enzyme penicillinase. Activation of 50 μ M PYRRO-
239 C3D resulted in rapid release of NO, reaching a maximum concentration of ~ 600 nM over 14
240 minutes, which was followed by a gradual decline over a further 120 minutes (Figure 1b).

241 Treatment of planktonic NTHi cultures with increasing concentrations of PYRRO-C3D
242 identified that concentrations >50 μ M inhibited growth (Figure 2a). However, no reduction
243 in biofilm viability was observed following PYRRO-C3D treatment at 10 nM - 100 μ M over 2
244 hours (Figure 2b). Treatment of planktonic NTHi with 50 μ M PYRRO-C3D released between
245 48 and 90 nM NO over 15 minutes, with the signal being quenched following addition of the
246 β -lactamase inhibitor clavulanate (Figure 2c).

247

248 **3.2 PYRRO-C3D enhances NTHi biofilm susceptibility to azithromycin**

249 Previous research has shown that NO treatment of biofilms formed by several bacterial
250 species reduces their tolerance towards antibiotics [33,34]. Treatment of established 72 h
251 NTHi biofilms with 4 mg/mL azithromycin produced a slight reduction in viable NTHi within
252 the biofilm ($p=0.0019$; Figure 3a). Complete killing, however, was not achieved despite the
253 planktonic MIC for this strain being 0.001 mg/mL (data not shown). Combined treatment
254 with 4 mg/mL azithromycin and 50 μ M PYRRO-C3D resulted in a significant increase in
255 bacterial killing, where a log-fold reduction in viable cells was observed in the biofilm
256 population ($p=0.0189$; Fig. 3a). COMSTAT analysis of the live biofilm population following
257 confocal imaging indicated that azithromycin alone had little impact on the viable biomass,
258 whilst combined treatment with PYRRO-C3D produced a significant reduction ($p=0.0064$;
259 Figure 3b). Notably, this reduction occurred despite a slight but significant increase in the
260 live biofilm population occurring following treatment with PYRRO-C3D alone (Figure 3b).
261 This effect, however, does not appear to be mediated by biofilm dispersal as a significant
262 drop in the viable supernatant population with PYRRO-C3D alone was observed ($p=0.0061$;
263 Figure 3c). No difference between azithromycin treatment alone and combined PYRRO-C3D/
264 azithromycin was also observed ($p=0.0974$; Figure 3c). COMSTAT analysis also showed no
265 significant difference in biofilm thickness across any of the treatments, suggesting a lack of
266 biofilm dispersal (Figure 3d). Measurement of biofilm density, assessed by average diffusion

267 distance between live bacteria, revealed that PYRRO-C3D alone increased biofilm density,
268 whereas treatment with azithromycin had no effect (Figure 4). Combination treatment with
269 azithromycin did, however, rescind the increase in biofilm density observed when treating
270 with PYRRO-C3D alone (Figure 4).

271

272 **3.3 Response of NTHi biofilms to PYRRO-C3D is NO-mediated**

273 Having established that PYRRO-C3D potentiates the activity of azithromycin against NTHi
274 biofilms, experiments were next performed to examine whether the effect was NO-
275 mediated. Treatment of biofilms with an equivalent concentration (50 μ M) of the
276 spontaneous NO-donor DEA/NO alone had no effect on biofilm viability ($p=1.08$; Figure 5a).
277 In contrast to the PYRRO-C3D/azithromycin combination, no increase in antibiotic efficacy
278 was observed when DEA/NO was co-administered with azithromycin ($p=0.56$; Figure 5a).
279 Treatment with the NO-scavenger cPTIO nullified the increase in azithromycin susceptibility
280 observed in the presence of PYRRO-C3D ($p=0.0001$), suggesting that the potentiation effect
281 is NO-mediated but requires slower, more controlled release of NO than is achievable with
282 DEA/NO (Figure 5b). Treatment with cephaloram, the parent 1st generation cephalosporin
283 from which PYRRO-C3D is derived (but lacking an NO donor), also showed no effect on
284 biofilm viability in the absence or presence of azithromycin, suggesting that the potentiation
285 response with PYRRO-C3D does not arise from β -lactam-mediated antibacterial activity
286 (Figure 5a). The β -lactamase inhibitor clavulanate abrogated the potentiation response,
287 consistent with NO release from PYRRO-C3D requiring β -lactamases (Figure 5b). This finding
288 was corroborated by the absence of potentiation observed when treating a non- β -lactamase
289 producing strain (HI5) with PYRRO-C3D and azithromycin ($p=0.24$), whilst observing a strong
290 effect with an alternative β -lactamase producing strain HI6 ($p<0.0001$; Figure 6).

291

292 **3.4 PYRRO-C3D increases azithromycin susceptibility of NTHi biofilms grown on primary**
293 **respiratory epithelial cells**

294 PYRRO-C3D treatment of NTHi biofilms formed on primary respiratory ciliated epithelial cells
295 at an air-liquid interface (ALI) was used to investigate whether the presence of human host
296 cells affected the activity of the compound. Lack of NO release from PYRRO-C3D in the
297 absence of NTHi cells was first confirmed, where NO release was detected only after
298 introduction of β -lactamase (Figure 7a). Scanning electron microscopy (SEM) was used to
299 confirm NTHi biofilm formation following 72 h co-culture before proceeding with compound
300 treatments (Figure 7c). As observed in the *in vitro* NTHi-only biofilm model, treatment of the
301 co-cultures with PYRRO-C3D alone had no effect on viability ($p=0.41$) and treatment with
302 azithromycin alone resulted in a log-fold reduction ($p=0.0007$). When used in combination,
303 azithromycin and PYRRO-C3D produced a significant 2-log-fold reduction in viability relative
304 to controls ($p=0.0026$; Figure 7b).

305

306 **3.5 Nitric oxide treatment regulates protein expression in NTHi biofilms**

307 Similar to *Streptococcus pneumoniae*, NTHi lacks proteins possessing the GGDEF, EAL and
308 HD-GYP domains, which are important in the turnover of the secondary messenger cyclic-di-
309 GMP (c-di-GMP) in response to NO-signals [35]. Label-free proteomic analyses were
310 therefore performed to probe the mechanism by which NO affects NTHi biofilms. In total,
311 277 proteins were identified and 127 were expressed in both untreated and 50 μ M SNP-
312 treated biofilms. Of these proteins, 16 showed significantly increased expression (5% FDR)
313 following SNP treatment and were primarily involved in either metabolic or
314 transcriptional/translational processes (Table 1). KEGG pathway analysis of all proteins
315 showing increased expression (>1.5 fold change) identified significant enrichment of
316 ribosome pathways (34 proteins, FDR 1.2×10^{-28}) and glycolysis/gluconeogenesis (9 proteins,
317 FDR 1.55×10^{-5}).

Uniprot code	Protein name	gene	Ratio treated/untreated
A0A0H3PBJ4	Glucose-specific PTS system enzyme IIA component*	crr	24.15
A0A0H3PK54	Lipoprotein (D-methionine uptake)*	metQ	21.52
A0A0H3PBW8	Phosphoglycerate kinase*	pgk	21.00
A0A0H3PFB4	DNA-directed RNA polymerase subunit alpha*	rpoA	16.33
A0A0H3PJ51	2,3-bisphosphoglycerate-dependent phosphoglycerate mutase*	gpmA	13.37
A0A0H3PG63	Elongation factor G*	fusA	12.96
A0A0H3PMV3	Pyruvate kinase*	pykA	11.85
A0A0H3PI75	Inosine-5'-monophosphate dehydrogenase*	guaB	11.41
A0A0H3PCZ6	L-lactate dehydrogenase*	lldD	10.50
A0A0H3PF36	ATP synthase subunit β	atpD	9.97
A0A0H3PLN7	Pyridoxal 5'-phosphate synthase subunit*	pdxS	9.15
A0A0H3PLM6	Pyruvate dehydrogenase E1 component*	aceE	8.25
A0A0H3PG47	Chaperone protein ClpB	clpB	7.89
A0A0H3PC20	NAD nucleotidase	nucA	6.99
A0A0H3PFF9	Long-chain fatty acid transport protein	OMPp1	6.09
A0A0H3PF51	CTP synthetase	pyrG	5.75

Table 1. Differentially expressed proteins in *in vitro* NTHi biofilms following SNP (50 μ M) treatment. 5% false discovery rate (FDR), *=significant at 1% FDR.

321

322 4. DISCUSSION

323 NTHi, a common commensal in the upper respiratory tract, is an opportunistic
 324 pathogen responsible for localised and chronic lung infections associated with lung diseases.
 325 Biofilm formation by NTHi has been identified as playing a key role in both colonisation and
 326 disease, and contributes to ineffective antibiotic treatment [30]. NO has been shown to
 327 signal a dispersal response in several biofilm-forming species, rendering the released
 328 bacteria susceptible to antibiotics [19-21]. The ubiquitous nature of this molecule in human
 329 physiology, however, means that clinical implementation of non-specific NO treatments with,
 330 for example, gaseous NO or spontaneous NO-donors, could result in many side effects [22-

24]. Cephalosporin-3'-diazoniumdiolates like PYRRO-C3D, designed to target NO release specifically to bacterial infection sites, present a promising solution to this problem. Our findings indicate that PYRRO-C3D released low concentrations of NO upon contact with NTHi and that this release is specifically triggered by β -lactamases, as evidenced by the cessation of NO release in the presence of the β -lactamase inhibitor clavulanate. These data, and the lack of NO release when PYRRO-C3D was applied to respiratory epithelial cells in the absence of NTHi, demonstrates the specificity of the prodrug activation by bacterial cells, an attribute that would likely reduce the risk of NO-mediated side effects *in vivo*.

Regulation of the intracellular secondary messenger c-di-GMP plays a pivotal role in controlling both biofilm formation and dispersal, with increased levels promoting formation through increased aggregation, extracellular matrix and adhesin production, and reduced levels signalling a dispersal response [35-37]. C-di-GMP levels are regulated by diguanylate cyclases (containing GGDEF domains) that are responsible for its synthesis, and phosphodiesterases (containing HD-GYP and EAL domains) that catalyse degradation [37]. Increased activity of these phosphodiesterases has been linked to specific external triggers such as nutrient deprivation, hypoxia and NO [19,38-40]. A putative NO-sensing domain linked to both GGDEF and EAL domains, termed the NO-induced biofilm dispersal locus A (NbdA) [40], could be responsible for these downstream effects. However, it is unlikely that these mechanisms operate in *Haemophilus* spp. as genome-wide sequencing of NTHi (Rd KW20), *Haemophilus ducreyi*, *Haemophilus parainfluenzae* and *Haemophilus parasuis* indicates a lack of proteins possessing GGDEF, EAL or HD-GYP domains [18]. Our data support this since low concentrations of NO did not appear to reduce the number of viable cells remaining in biofilms following PYRRO-C3D treatment. However, our proteomic data suggest that an alternative signalling pathway may be involved.

Proteomic analyses comparing untreated and NO-treated NTHi biofilms demonstrated increased expression of sixteen proteins involved in metabolic or

transcriptional/translational processes. This was confirmed by KEGG pathway analysis of all differentially expressed proteins, which showed over-representation of ribosome and glycolysis/gluconeogenesis pathways. A similar response was recently observed in *Streptococcus pneumoniae* biofilms, where low dose NO modulated both translation and metabolism [33]. This is particularly interesting given that *S. pneumoniae* also lacks the GGDEF, EAL, and HD-GYP domain-containing proteins associated with the c-di-GMP pathway. It is possible that this is because both species inhabit the same nasopharyngeal niche, which not only provides an environment with limited nutrient availability, but also one with low levels of NO produced by epithelial cells [41]. Of particular interest was the increased expression of the D-methionine binding lipoprotein MetQ following NO treatment, an amino acid that has previously been shown to play a role in dispersal of *P. aeruginosa*, *S. aureus* and *Staphylococcus epidermidis* biofilms [42,43]. Interestingly, MetQ is also linked to a number of iron chelation/transporter proteins. It is known that iron can interfere with *P. aeruginosa* biofilm formation by inhibiting genes associated with biofilm formation, whilst SapF mediates heme utilisation and is involved in both biofilm persistence and coordination [44,45]. NO treatment of *E. coli* has also been shown to inhibit a global regulator (fur) that uses iron as a co-factor, affecting a wide range of metabolic processes such as the stress response and iron metabolism [46] and has been implicated in NTHi virulence [47].

While several studies have shown that NO-mediated dispersal of biofilms reduces antibiotic tolerance [19-21], the regulation of pneumococcal biofilm metabolism was recently shown to provide an alternative mechanism for reducing tolerance [33]. As PYRRO-C3D increases the susceptibility of NTHi biofilms to treatment with azithromycin, it is possible that a similar mechanism to that observed in pneumococcus is also responsible for reduction in tolerance observed here. Abrogation of the potentiation effect in the presence of the β -lactamase inhibitor clavulanate and the NO-scavenger cPTIO, in addition to the lack of response with cephaloram, confirmed that the response to PYRRO-C3D was indeed NO-

383 mediated. It is worth noting that treatment of a strain lacking β -lactamase activity failed to
384 potentiate the activity of azithromycin, suggesting that PYRRO-C3D would likely be effective
385 only against NTHi biofilms capable of β -lactamase production.

386 Observing a significant improvement in azithromycin efficacy when used alongside
387 PYRRO-C3D but not in the presence of an equivalent concentration of the spontaneous NO
388 donor DEA/NO suggests a bacteria-targeted NO-donor such as PYRRO-C3D would be more
389 effective in the treatment of biofilm-associated infections. Whilst the half-life of DEA/NO is
390 around 90 seconds, the release of NO from PYRRO-C3D continues for up to 120 minutes,
391 suggesting that slow but sustained release is beneficial. Moreover, it was particularly
392 noteworthy that NTHi biofilm susceptibility to combined PYRRO-C3D and azithromycin
393 treatment was even more pronounced when co-cultured on primary epithelial cells – a more
394 physiologically relevant model of biofilm infections in the respiratory tract.

395

396 **5. CONCLUSION**

397 In conclusion, this study has shown that the novel NO-donor prodrug PYRRO-C3D is effective
398 in specifically targeting NO release to β -lactamase producing NTHi biofilms, and that through
399 modulation of metabolic activity, the compound potentiates the antibacterial activity of
400 azithromycin. This effect is not seen in the absence of β -lactamase production. PYRRO-C3D
401 used in combination with azithromycin thus warrants further investigation as a potential
402 treatment for chronic, biofilm-based NTHi infections.

403

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410

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413

414 **Transparency Declarations**

415 None to declare

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553
554 **Figure 1. a)** Structure of PYRRO-C3D and NO release mechanism following β -lactam ring
555 cleavage by β -lactamase. **b)** NO release from PYRRO-C3D (50 μ M in PBS) following activation
556 with β -lactamase (penicillinase).

557

558 **Figure 2. PYRRO-C3D elicits a direct antibacterial effect on planktonic NTHi. a)** Planktonic
559 NTHi growth in the presence of PYRRO-C3D measured by absorbance (OD_{600} ; n=4) **b)** 72 h *in*
560 *vitro* NTHi biofilm viability following 2 h treatment with PYRRO-C3D as measured by CFU
561 enumeration (n=4). **c)** NO release from 50 μ M PYRRO-C3D in the presence of planktonic
562 NTHi. The signal was recorded over 15 mins before quenching with the β -lactamase inhibitor
563 clavulanate (n=2).

564

565 **Figure 3. PYRRO-C3D increases NTHi *in vitro* biofilm susceptibility towards azithromycin**
566 **treatment.** 72 h NTHi *in vitro* biofilms treated with 50 μ M PYRRO-C3D and 4 mg/ml
567 azithromycin, both individually and in combination, for 2 h were assessed for viability
568 through **a)** CFU enumeration, and **b)** COMSTAT analysis of live stained bacteria (n=5). **c)**
569 Viability of the supernatant population following treatment measured by CFU enumeration,
570 and **d)** maximum biofilm thickness measured by confocal microscopy. * $p \leq 0.05$,
571 ** $p < 0.01$, *** $p \leq 0.001$.

572

573 **Figure 4. PYRRO-C3D treatment increases NTHi biofilm density.** Confocal images of NTHi
574 biofilms treated with 50 μ M PYRRO-C3D and 4 mg/ml azithromycin, both individually and in
575 combination, for 2 h were processed using COMSTAT software to calculate the average
576 diffusion distance between live bacteria within biofilms. * $p \leq 0.05$.

577

578 **Figure 5. Response of NTHi biofilms to PYRRO-C3D is NO-mediated.** Viability of 72 h *in vitro*
579 NTHi biofilms following 2 h treatment with **a)** 50 μ M DEA/NO, cephaloram and PYRRO-C3D,
580 and **b)** 50 μ M cPTIO and clavulanate, both individually and in combination with 4 mg/mL
581 azithromycin for 2 h. Measurement of viability through CFU enumeration * $p \leq 0.05$.

582 **Figure 6. NO release from PYRRO-C3D is dependent on NTHi β -lactamase production.**
583 Viability of 72h *in vitro* biofilms formed by β -lactamase producing (HI6) and non- β -lactamase

584 producing (HI5) NTHi isolates following treatment with 50 μ M PYRRO-C3D and 4 mg/ml
585 azithromycin both individually and in combination for 2 h, as assessed by CFU enumeration
586 (n=5). **p \leq 0.01.

587 **Figure 7. PYRRO-C3D treatment increases azithromycin susceptibility of NTHi biofilms**
588 **grown on primary respiratory epithelial cells. a)** Measurement of NO release from 50 μ M
589 PYRRO-C3D in presence of primary respiratory epithelial cells isolated from grown at air
590 liquid interface (ALI) before and after activation with 10 units of β -lactamase (penicillinase).
591 **b)** Viability of 72 h NTHi biofilms grown at an ALI on primary respiratory epithelial cells
592 following 2 h treatment with 50 μ M PYRRO-C3D and 4 mg/mL azithromycin, both alone and
593 in combination, as assessed by CFU enumeration (n=5). **c)** SEM image of a 72 h NTHi biofilm
594 formed at an ALI on primary respiratory epithelial cells. Scale bar = 10 μ M, *p \leq 0.05,
595 **p \leq 0.01.

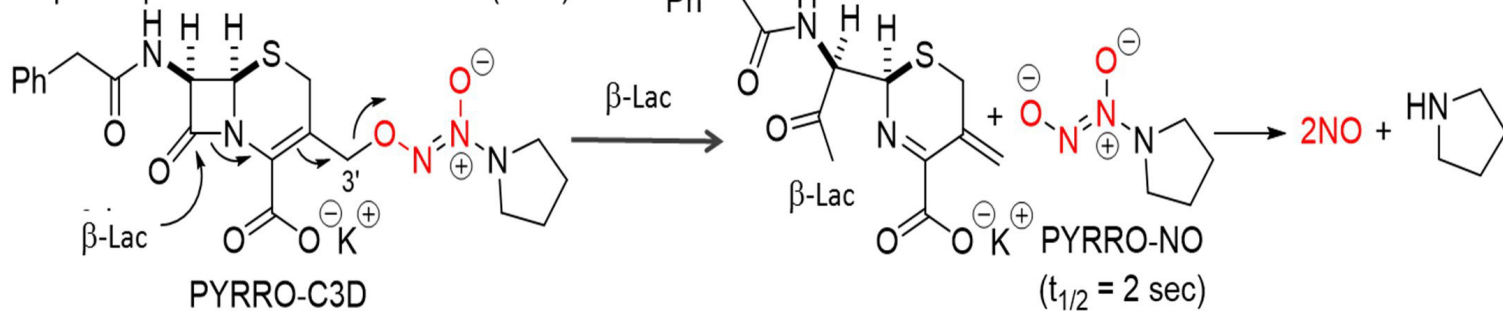
596

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a)

Cephalosporin-3'-diazoniumdiolate (C3D)



b)

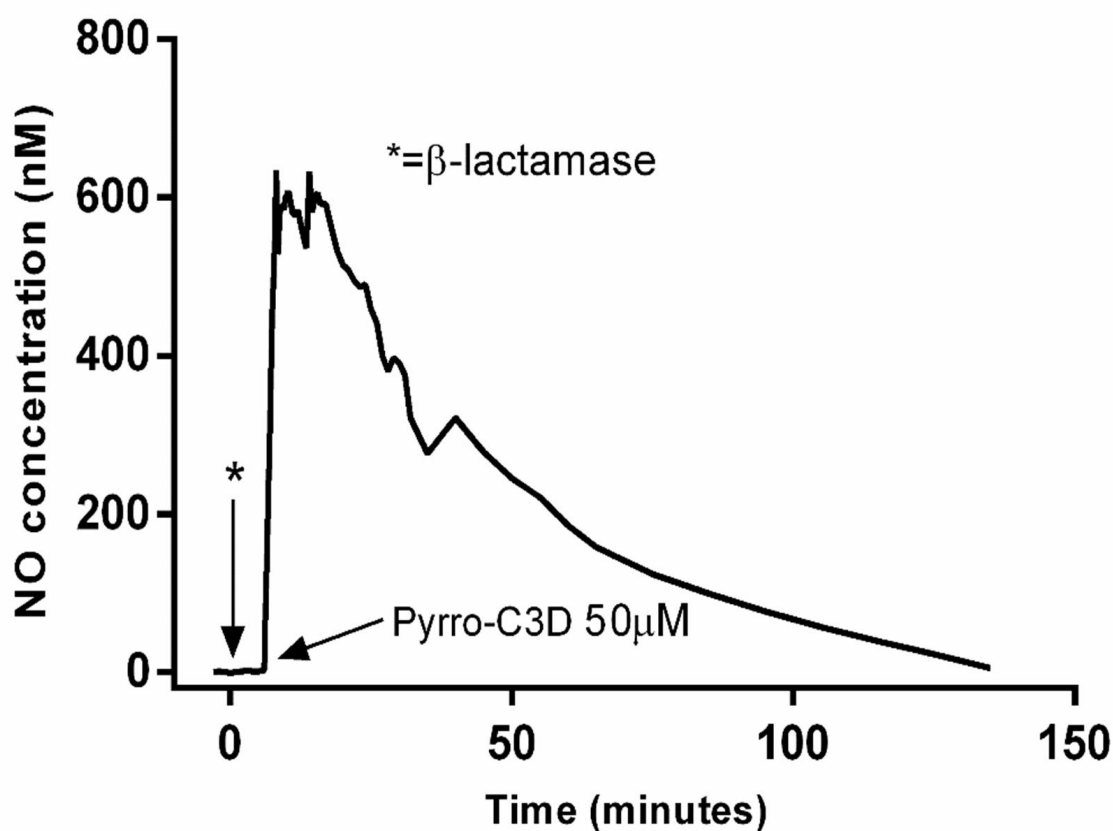


Figure 1. a) Structure of PYRRO-C3D and NO release mechanism following β -lactam ring cleavage by β -lactamase. **b)** NO release from PYRRO-C3D (50 mM in PBS) following activation with β -lactamase (penicillinase).

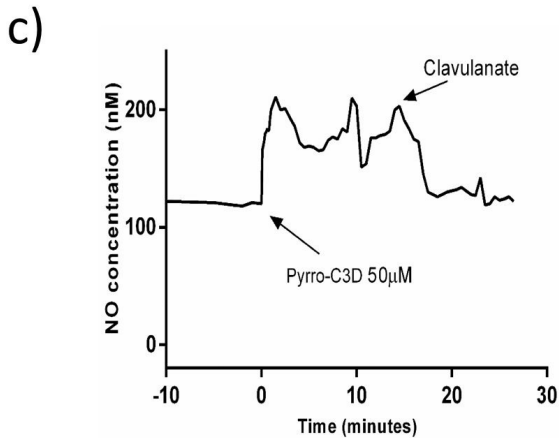
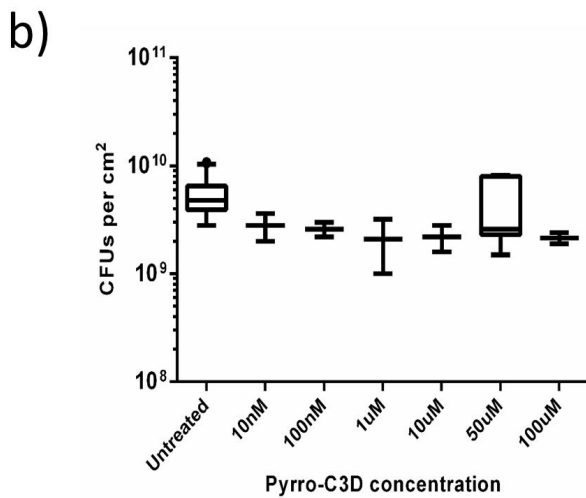
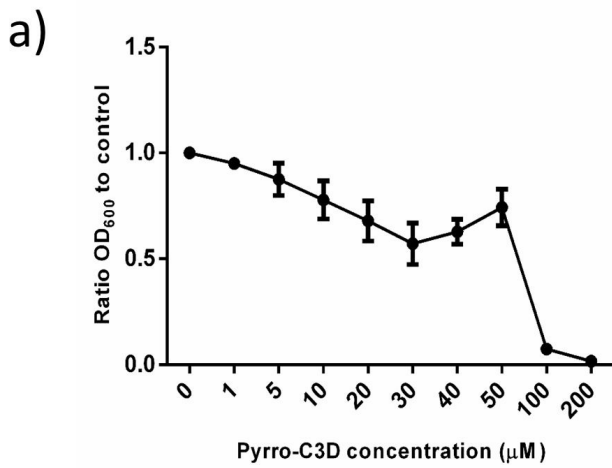


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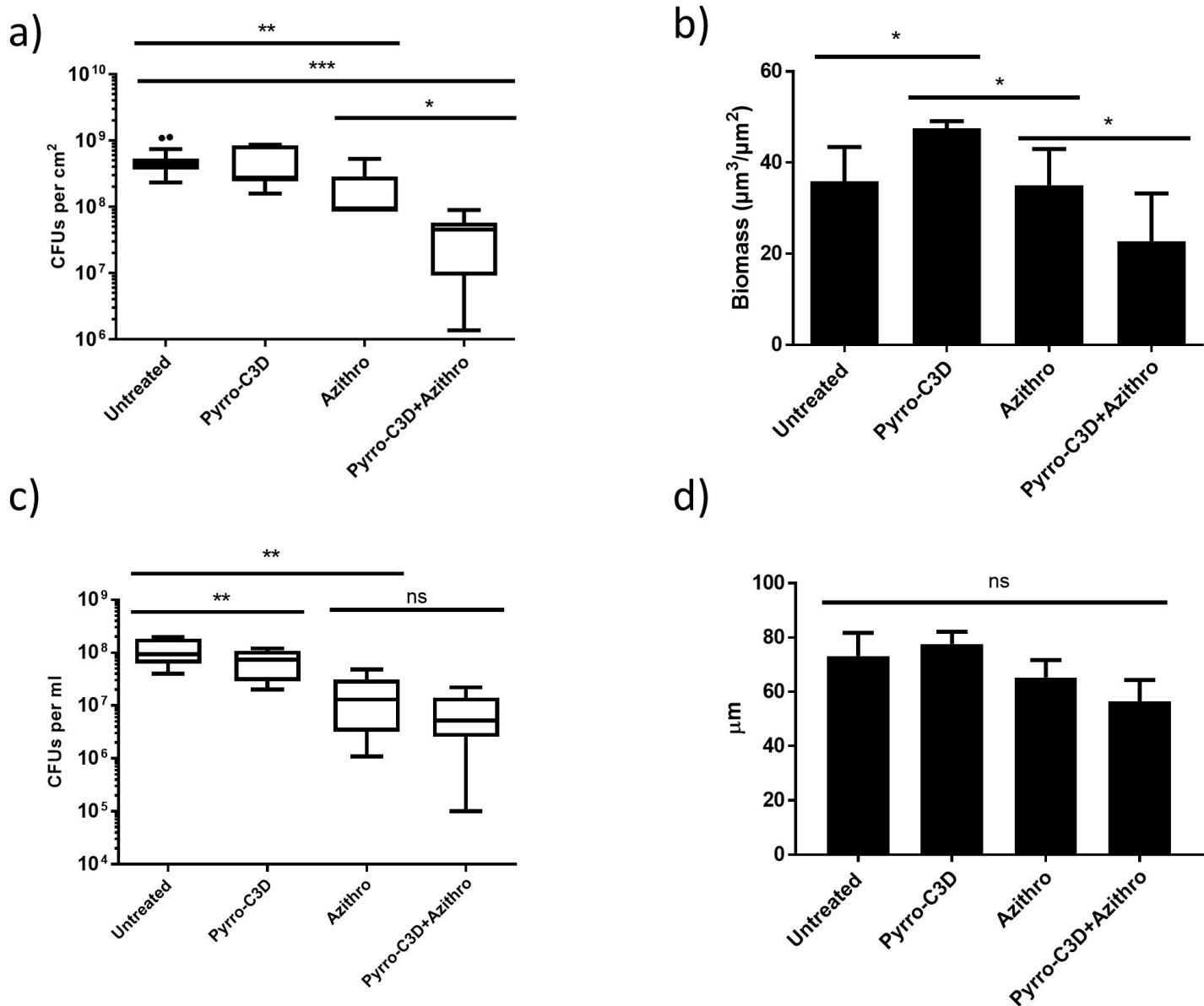


Figure 3. PYRRO-C3D increases NTHi *in vitro* biofilm susceptibility towards azithromycin treatment. 72 h NTHi *in vitro* biofilms treated with 50 μM PYRRO-C3D and 4 mg/ml azithromycin, both individually and in combination, for 2 h were assessed for viability through **a)** CFU enumeration, and **b)** COMSTAT analysis of live stained bacteria (n=5). **c)** Viability of the supernatant population following treatment measured by CFU enumeration, and **d)** maximum biofilm thickness measured by confocal microscopy. *p≤0.05, **p<0.01, ***p≤0.001.

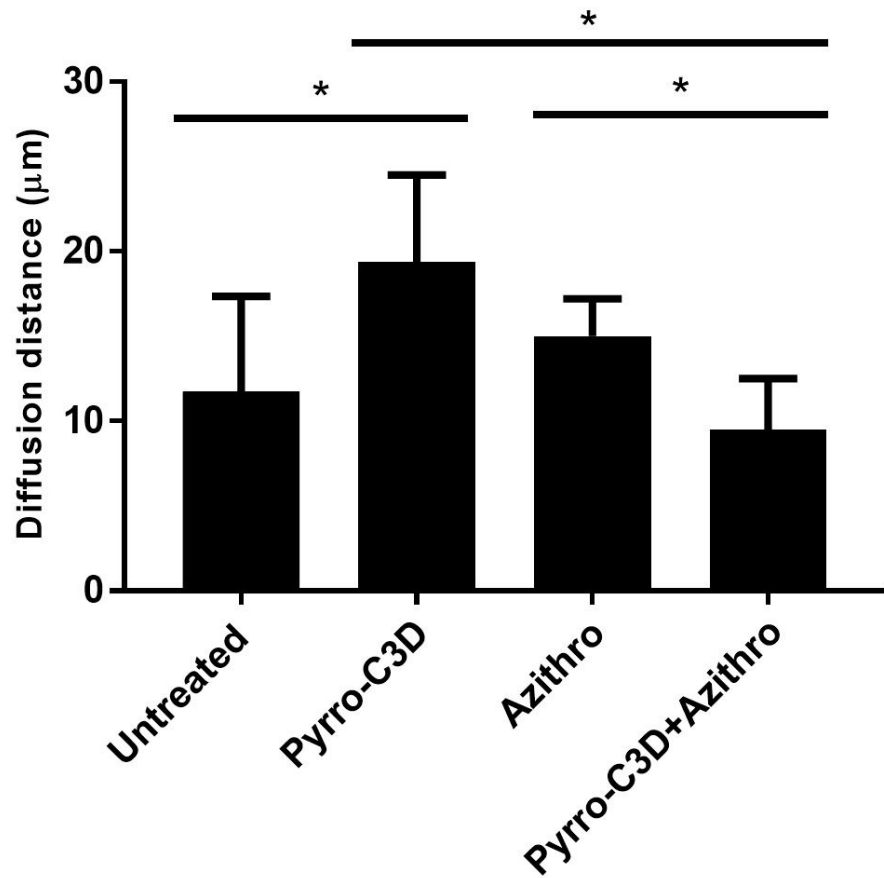


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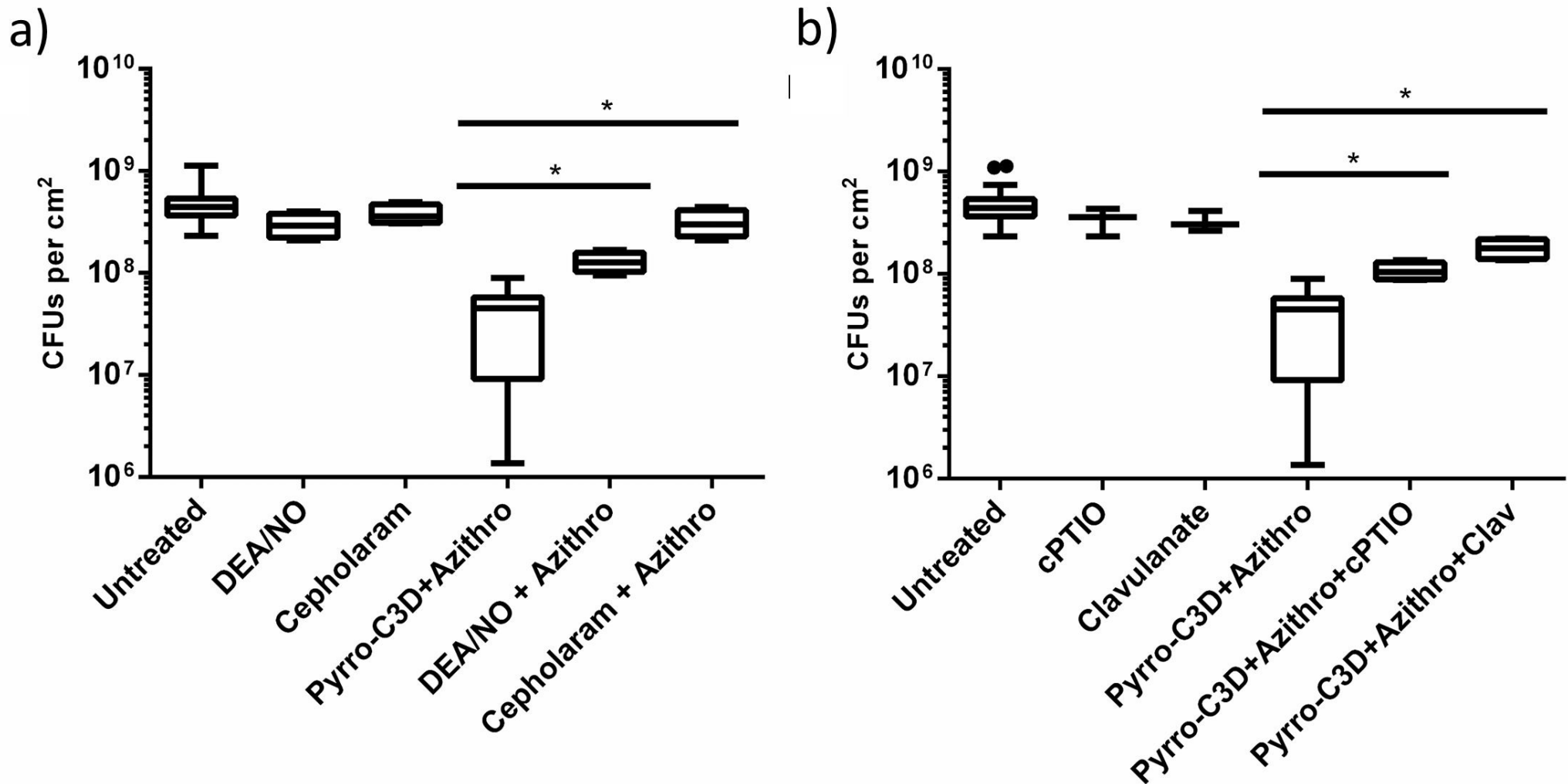


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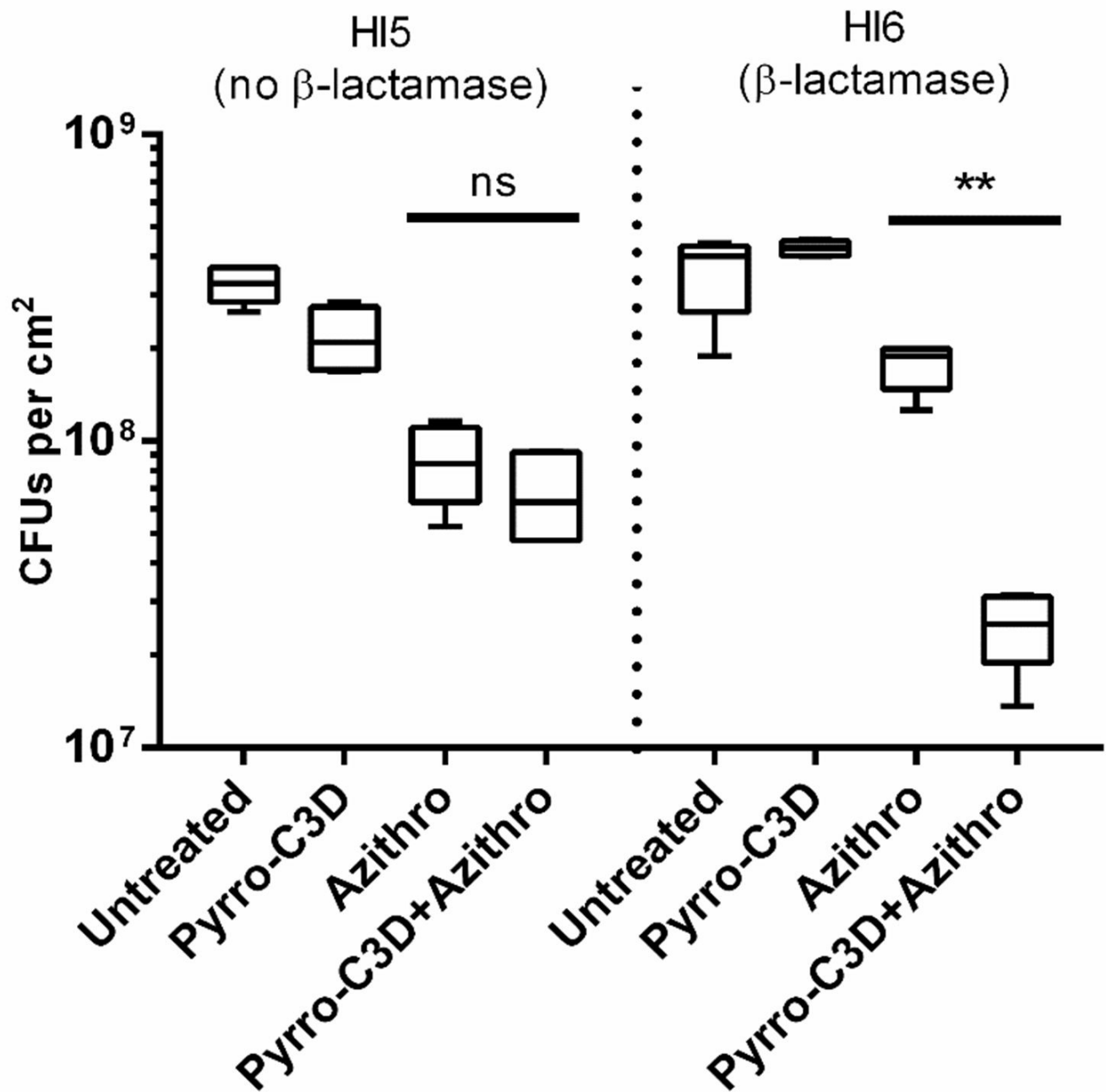


Figure 6. NO release from PYRRO-C3D is dependent on NTHi β-lactamase production. Viability of 72h *in vitro* biofilms formed by β-lactamase producing (HI6) and non-β-lactamase producing (HI5) NTHi isolates following treatment with 50 μM PYRRO-C3D and 4 mg/ml azithromycin both individually and in combination for 2 h, as assessed by CFU enumeration (n=5). **p≤0.01.

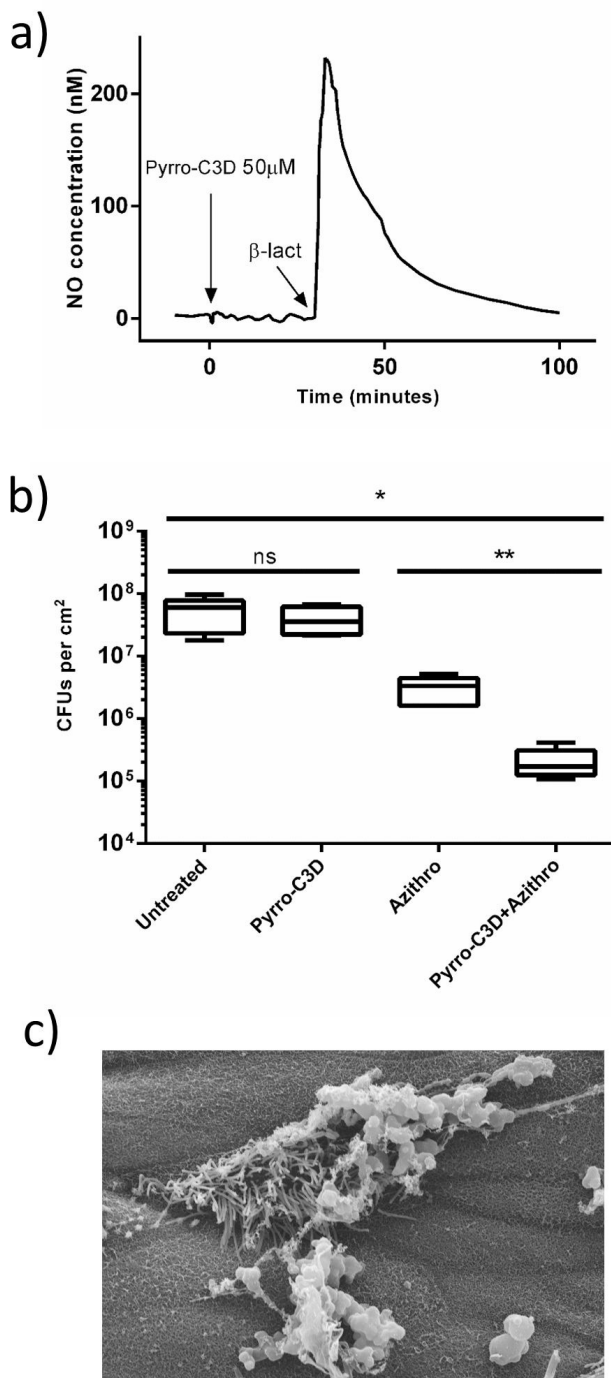


Figure 7. PYRRO-C3D treatment increases azithromycin susceptibility of NTHi biofilms grown on primary respiratory epithelial cells. **a)** Measurement of NO release from 50 μ M PYRRO-C3D in presence of primary respiratory epithelial cells isolated from grown at air liquid interface (ALI) before and after activation with 10 units of β -lactamase (penicillinase). **b)** Viability of 72 h NTHi biofilms grown at an ALI on primary respiratory epithelial cells following 2 h treatment with 50 μ M PYRRO-C3D and 4 mg/mL azithromycin, both alone and in combination, as assessed by CFU enumeration (n=5). **c)** SEM image of a 72 h NTHi biofilm formed at an ALI on primary respiratory epithelial cells. Scale bar = 10 μ M, * $p \leq 0.05$, ** $p \leq 0.01$.